# The Irreversible Bodhisattva (*avaivartika*) in the Lotus *sūtra* and *Avaivartikacakrasūtra*.

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#### Introduction

Irreversible (avaivartika) bodhisattvas are regarded as prestigious figures within Mahāyāna literature as they are generally thought to be incapable of turning back from attaining full Buddhahood. Different texts, however, vary in their description of the irreversible bodhisattva. Some texts like the Sukhāvatīvyūha place emphasis on their vows to save sentient beings while other texts like the Vimalakīrtinirdeša represent them as householders skilled in the cognition of emptiness and non-duality. The following discussion examines the characteristics and qualities of irreversible bodhisatttvas found in the Saddharmapundarīkasūtra (hereafter Lotus sūtra) and compares these characteristics to the qualities of the irreversible bodhisattva found in the Avaivartikacakrasūtra ("teaching on the doctrine of non-retrogression"). The comparison between these two sutras' portrayal of the irreversible bodhisattva illustrates underlying similarities in how the irreversible bodhisattva is conceived but also clarifies important differences in practices and attainments that indicate a more nuanced vision of this type of bodhisattva among two different authorial communities in Mahāyāna literature.

In order to understand the context of the *Lotus sūtra* and *Avaivartikacakrasūtra*'s portrayal of irreversible bodhisattvas, and how this contrasts against early mainstream and Mahāyāna forms of Buddhism, I will initially provide a short outline of the bodhisattva ideal in mainstream Buddhist discourse and Mahāyāna *sūtra* discourses followed by a brief overview of irreversible bodhisattvas in Mahāyāna

 $s\bar{u}tras$ . I will then describe the narrative context and status of irreversible bodhisattvas in the *Lotus sūtra* and the *Avaivartikacakra-sūtra* and then compare how these *sūtras* utilize the category of the irreversible bodhisattva in their depiction of the bodhisattva way.

## The Bodhisattva in Mainstream and Early Mahāyāna Buddhist Discourse

The Buddhist literature that comes to be classified by traditional as well as modern scholars as "Mahāyāna *sūtras*" was formulated gradually over several centuries from slightly before the beginning of the common era. The authorial communities that composed this literature emerged from among mainstream Buddhist monastic groups, i.e. the Nikāya ordination lineages. Although the authorial communities who compiled "Mahāyāna" Buddhist texts were from a culture that shared in the common Buddhist literary heritage of mainstream forms of Buddhism, that is, the texts of the Nikāya-Āgama corpus, these authors were rewriting this literary heritage towards a new vision of the goal of the Buddha's teachings, the path leading to this goal, and the type of individual who took up the path towards this goal.

The new goal within emerging groups during this time period was the attainment of full awakening leading to Buddhahood rather than the mainstream Buddhist spiritual goal of nirvana resulting in the state of *arhat*. The type of individual who strove to become a Buddha was known as a bodhisattva. Early forms of Buddhism knew of bodhisattva figures, but bodhisattvas in this early context referred to the lifetimes of individuals like Sākvamuni during the time period before his attainment of Buddhahood. For a number of early followers of the Buddha, the lifetimes he spent as a *bodhisattva* was something to venerate but not emulate, as the path of a bodhisattva was not vet an ideal for followers of a Buddha to practice. The sole path to be followed for early Buddhsits was the path of arhatship that lead to *nirvāna*, i.e. final liberation from the cycle of repeated birth and death. In early forms of Buddhism, the quality of the attainment of *nirvāna* was equal and identical between the Buddha and his followers, what differed was the fact that Śākyamuni had discovered the path leading to *nirvāna* by himself. However, over time, and due to a number of complex cultural

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conditions in South Asia, the Buddha and his status as an awakened being became increasingly glorified and a breach developed between the status and value of arhatship in comparison to the prestigious goal of full Buddhahood among some groups of Buddhist followers. Some Buddhists began to seek out the goal of full Buddhahood over the "lesser" aim of arhatship. Influenced by the stories of Śākyamuni's previous lifetimes found in the Jātaka tales ("birth-stories") that exemplified his previous vows, ascetic practices, and virtues (*pāramitā*). Buddhists interested in pursuing the attainment of full Buddhahood began to conceive and envision the qualities and practices that would constitute such an attainment, in other words, the notion of the bodhisattva path began to emerge, a notion that was unknown in the Buddha's own lifetime (Nattier 2003:41). Early models of the bodhisattva path considered the way to full Buddhahood as a long and arduous journey over countless lifetimes to be undertaken by only the few who were fit, resolute, and committed for the journey. Based on the narratives found in the Jātaka stories, an aspiring bodhisattva could anticipate lifetimes of compassionate self-sacrificial acts, such as offering his body to be cut to pieces by an evil king (i.e., Ksāntivādin*jātaka*) or giving away his limbs to a hungry tigress (i.e., Vyāghrī*jātaka*), as well as lifetimes of practicing ascetic cultivations and moral virtues. Such acts would develop the awakened qualities and accrue the merit necessary for eventually becoming a Buddha. Influenced by such stories, as demonstrated by the shared elements of stock phrases, images, classifications, categories, and characters that both the Nikāya-Āgama corpus and "Mahāyāna" texts rely upon for their content, communities of Buddhist monastics began to envision and imagine the elements and structures that constituted a bodhisattva's way to full buddhahood.

However, the historical developments in formulating the bodhisattva way among early Buddhist communities were never documented in written form and took place "off-camera" (Nattier 2003:13) during an "intertestmental period between the closing of the canons of the various Nikāya Buddhist lineages and the composition of the earliest Mahāyāna *sūtras*" (Nattier 2003:100). The earliest extant versions of *sūtras* that come to be classified as "Mahāyāna" *sūtras*,

preserved among early Chinese translations by the second century Indo-Scythian translator Lokakṣema (支婁迦識 *Zhi lou-jia-chen*), represent the early stages of subsequent development and refinement of the initial bodhisattva movement. Harrison (1993:140), who analysed a corpus of eleven early Mahāyāna texts that were translated by Lokakṣema into Chinese in the middle of the second century c.e., states that the earliest Mahāyāna texts that reached China during this time were "far from being the first outpourings of the movement" and "represent a fairly advanced stage in a long literary tradition" which he characterized as "Early Middle Mahāyāna."

Although an exact chronology of historical development for the beginnings of Mahāvāna formations cannot be known, inferences from the textual analysis of Lokaksema's corpus of translations along with analysis of recent manuscript finds in "Greater Gandhāra" (Allen and Salomon 2010; Falk and Karashima 2012) and discoveries in the Bajaur distrinct in North-West Pakistan (Strauch 2010) provide the earliest textual evidence for descriptions of the bodhisattva path. Based upon an analysis of Lokaksema's translations (Harrison 1993:170-172) and the Bajaur manuscript collection (Strauch 2010), one finds four key stages that are demarcated throughout this early textual material that constitute the most basic elements in the path of a bodhisattva. These key stages are: (1) the arising of the thought of awakening (bodhicittotpāda), when a person first aspires to attain the state of Buddhahood and thereby becomes a bodhisattva; (2) endurance towards the fact that things are not produced (anutpattikadharmaksānti); (3) the attainment of the status of irreversibility or nonretrogression (avaivartika) from Buddhahood; and (4) the prediction (*vyākarana*), the event when a Buddha predicts the time and place of a bodhisattva's subsequent awakening.

Among these four stages, the attainment of the status of irreversibility or non-retrogression (*avaivartika*) is of great importance as it signifies that a bodhisattva is close to awakening and has reached a point in his or her career after which there can be no turning back from the attainment of full Buddhahood. As will be discussed below, the attainment of non-retrogression is closely related to the other three key stages of the bodhisattva's path and signifies that a bodhisattva is endowed with special qualities that differentiate them from fledgling bodhisattvas. Judging from its occurrence and context in Mahāyāna texts, the status of irreversibility was sought after by many in the communities oriented toward the bodhisattva path as texts often describe the signs of those who have attained the esteemed state and the context of the term's appearance often conveys an assurance of stability for the bodhisattva struggling to reach full Buddhahood. Moreover, the key stage of *avaivartika* becomes so firmly established as a prestigious attainment in the bodhisattva path that it is mentioned in most, if not all, later Mahāyāna *sūtras* without further comment.

#### Avaivartika Bodhisattvas in Mahāyāna sūtra Discourse

As mentioned above, the term *avaivartika* and its multiple Indic equivalents (Apple 2011: 121) are found in a great number of texts that become classified as Mahāyāna sūtras and generally signifies that a bodhisattva can no longer turn back from the attainment of full Buddhahood. Even so, avaivartika is a polysemous term that may have multiple and variant connotations as indicated by the fact that early Chinese translations by Lokaksema and his team of translators transliterated the term as either 阿惟越致 a wei yue zhi or translated it into Chinese as 不退 bu tui "non-regression" in addition to the term's multifaceted connotation in Mahāyāna discourses (Apple 2011). The most thorough, and perhaps the earliest known, discussion of avaivartika and its relation to bodhisattvas occurs throughout the literature of the *prajñāpāramitā*, from the earliest fragments of the first century Gandhari Prajñaparamita (G. prañaparamida) (Falk and Karashima 2012, 2013) up through later *prajñāpāramitā* discourses such as the Pañcavimśatisāhasrikā.

The earliest extant full version of a *prajñāpāramitā* discourse that provides evidence for how early authorial communities constructed the bodhisattva path and thought about the practices, doctrines, and goals of bodhisattva movements is the second-century Chinese translation of the *Astasāhasrikā prajñāpāramitā* by Lokakṣema, the *Daoxing Banruo Jing* 道行般若經 (Karashima 2011). Nevertheless, as mentioned above, this version of the *Astasāhasrikā prajñāpāramitā* translated by Lokakṣema provides evidence for developments that had

already occurred but were not the initial developments of this literature. As the recent work of Falk and Karashima (2012, 2013) demonstrates, the Gāndhārī fragments provide a copy of a *prajñāpāramitā* that is older than Lokakṣema's text and the footnotes of Karashima's critical edition of Lokakṣema's translation demonstrates how the *Aṣṭasāhasrikā prajñāpāramitā* expanded and changed over time. In brief, Mahāyāna texts flunctuated over time and through critical analyis of their content and structure early layers of their formation may be theorized and later developments documented.

The earliest layers of the Astasāhasrikā prajñāpāramitā are thought to consist of its first two chapters (Conze 1978:28-30; Verboom 1998:41-43) and an early description of the *avaivartika* bodhisattva is found within this stratum. In this early layer, the attainment of nonretrogression or avaivartika is related to dwelling in and not turning back from a concentration (samādhi) that does not grasp at anything at all (sarvadharmāparigrhīta) (Conze 1975:85). Attaining this concentration leads to the bodhisattva's prediction to become fully awakened to perfect awakening (Verboom 1998:247). As the Astasāhasrikā expanded over time, the discussion of the avaivartika bodhisattva focused upon the rarity of the attainment of non-retrogression, their status as a field of merit, and the signs and characteristics which enable one to recognize such a bodhisattva. A lenghthy exposition on the signs and characteristics of the irreversible bodhisattva is found in Chapter 15 of the Daoxing jing (Chapter 17 in Sanskrit). Irreversible bodhisattvas have cognitive levels of understanding equal to arhats (T 224: 430z16-18; 450b14-17; 454b17-18). They will never be reborn as women (T 224:458a18-19) nor in places of suffering (T 224: 454b27). They practice, even in dreams, the path of ten wholesome actions (T 224:454b1), but do not make offerings to gods (454b26-27) nor take delight in donations (T 224:454c15). The irreversible bodhisattva of the Daoxing jing practices the four dhyānas (455c13) and focuses on the truth (454c7). They proclaim the Perfection of Wisdom (461c26–28) and teach others to maintain the ten precepts (454c1-2). In brief, the irreversible bodhisattya is described as an exemplary monk with a special interest in the prajñāpāramitā who cannot backslide from the vocation of practicing the bodhisattva path to become a full Buddha.

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Besides these signs and characteristics of the irreversible bodhisattva found in the *Daoxing jing*, perhaps the most important aspect of the *Daoxing jing*'s understanding of irreversible bodhisattvas is related to the theme of prediction. The theme of prediction occurs in the early "Mahāyāna" Bajaur manuscript (Strauch 2010:47–51) as well as in all of Lokakṣema's translations (Harrison 1993:172). According to Fronsdal (1998: 254) the *Daoxing jing* exhibits the most concern with prediction among all of Lokakṣema's translations. Since there did not exist a historical Buddha in India during the formative period of bodhisattva movements, early *sūtras* like the *Daoxing jing* assumed that at least some followers had received a prediction for Buddhahood from one of the innumerable Buddhas in the past (Fronsdal 1998:266). The *Daoxing jing* (T 224:426c21; Conze 1975: 87) states: "All the bodhisattvas who have realized the irreversible stage have obtained their prediction to Buddhahood from the Buddhas in the past."

The important relation between prediction and irreversibility is aptly stated by Fronsdal (1998:267) who points out that:

"For the early Perfection of Wisdom community a key issue was not whether one should decide to pursue the Bodhisattva path; rather it was whether or not one had already entered the Bodhisattva path by virtue of having received a prediction in the distant past, many lifetimes ago. It is likely that the extensive discussion in the *Daoxing jing* of how an irreversible bodhisattva is recognized is an expression of a need to verify people's status as predicted."

In sum, the discussion in the *Daoxing jing* of irreversible bodhisattvas centers around the theme of prediction and recognizable characteristics and signs that may indicate receiving a prediction. This text does not place the status of irreversibility within a systemized structure of hierarchical bodhisattva stages. Rather, the categorization of various bodhisattvas into irreversible status in the *Daoxing jing* hinges on whether or not one has received a prediction. This mode of categorization indicates, as previously mentioned, that the authorial communities of the *prajñāpāramitā* as represented by the *Daoxing jing* were patterning their construction of the bodhisattva path along the (66)

lines of structures found in *Jātakas* and *Avadānas* ("miraculous tales") of the life of Śākyamuni Buddha who had received a prediction from the Buddha Dīpamkara in his previous lives.

The *Daoxing jing* therefore provides an early model of how Mahāyāna Buddhist authorial communities constructed the bodhisattva path leading to Buddhahood with key stages related to the themes of irreversibility and prediction. The *Daoxing jing* demonstrates the significance and prestigious status that irreversible bodhisattvas had for early Mahāyāna Buddhist authorial communities. As I will discuss in the following sections, the category of the irreversible bodhisattva was a firmly established bodhisattva level of distinction that Mahāyāna authorial communities recognized and thought about in negotiating how to conceive of the path to Buddahood. The category of *avaivartika bodhisattva* was one of the most significant floating group of concepts that various Mahāyāna Buddhist authorial communities utilized in addressing problems that confronted them in envisioning the way of a bodhisattva.

A good example of how Mahāyāna authorial communities recognized the category of irreversible bodhisattva, but contended for different visions of the bodhisattva way, is found in a comparison between the place of *avaivartika bodhisattvas* in the *Lotus sūtra* and the *Avaivartikacakrasūtra*. A comparison of the *Lotus sūtra* and the *Avaivartikacakrasūtra* provides a good case study because both of these *sūtras* represent a second layer of early to middle period (from the first to third century c.e.) Mahāyāna developments where Mahāyāna interest groups were beginning to more clearly articulate their differences from conservative mainstream forms of Buddhism but still had to address how bodhisattvas become Buddhas. Both *sūtras* were compiled before the ten stages of a bodhisattva system and the "three bodies" of a Buddha had been fully developed yet they both have a number of interrelated concerns and intertextual relations.

I have demonstrated (Apple 2012) several common narrative elements which the *Lotus sūtra* and the *Avaivartikacakrasūtra* share. Both *sūtras* place emphasis on *ekayāna* ("one vehicle"), the idea that all beings are destined for Buddhahood, and skill-in-means ( $up\bar{a}ya$ ) as a technique of teaching other beings. The *sūtras* share the literary

motif of bodhisattvas "emerging from the gaps of the earth" and the idea that two Buddhas may appear at the same time. Both sūtras also have episodes that involve gender transformation (Chapter 15 'Lion woman' in the Avaivartikacakra and the episode of the naga king's daughter in Chapter 12 of the Lotus sūtra). They both use distortions of time and space to emphasize the magnimity of their teaching. This correlation of subject matter between the Lotus sūtra and the Avaivartikacakra would lead some Chinese cataloges to list the sūtras together within a single section (See Demiéville, Choix d'études bouddhiques, 1929-1970, page 192, §2111. IV). These shared traits demonstrate that the authorial communities of these sūtras had a shared literary heritage and were aware of each other's works. However, both sūtras were working to solve different problems in formulating the new vision of the bodhisattva way. The place of avaivartika bodhisattvas in these two sūtras will illustrate the points of difference early authorial communities had with regard to the nature of the bodhisattva path.

#### Irreversible bodhisattvas in the Lotus sūtra<sup>1</sup>

The *Lotus sūtra* contains several passages related to irreversible bodhisattvas, but similar to other *sūtras* found in the Lokaksema corpus, there is not a systemized hierarchical structure of bodhisattva stages. Rather, like other early to middle period bodhisattva *sūtras*, the *Lotus sūtra* compares the cognitive knowledge of irreversible bodhisattvas to arhats and pratyekabuddhas, grants the status of irreversible to those who accept the *sūtra*, and marks this status in relation to prediction episodes.

Like the *Astasāhasrikā prajñāpāramitā* and other Mahāyāna texts, the *Lotus sūtra* was gradually composed through a process of stages as initially proposed by Fuse Kōgaku (1934) and tentatively

<sup>1</sup> This section reproduces material (pp. 129–133) from my article, "On Avaivartika and Avaivartikacakra in Mahāyāna Buddhist Literature with special reference to the Lotus Sūtra." *Bulletin of The Institute of Oriental Philosophy*, No. 27, pp. 119–147, 2011.

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refined by Seishi Karashima into four stages of formation.<sup>2</sup> The earliest formative layers of the *Lotus sūtra* mention the irreversible bodhisattva as a number of citations are found within the first stages of the *sūtra*'s development. The earliest references to the irreversible bodhisattva are found in Chapters Two and Three of the *Lotus sūtra*. In Chapter Two (2.17) the irreversible bodhisattva comes at the end of a list of beings who are unable to cognize *buddhajñāna*. The list begins with *adhimukti* bodhisattvas (2.7), arhats (2.8), beings like Śārisuta (2.9), Pratyekabuddhas (2.12), newly set out bodhisattvas (*navayānasam-prasthita bodhisattvā*) (2.14), and then irreversible or non-regressing bodhisattvas (*avivartikā*) (2.17).<sup>3</sup> Similar to the *Daoxing jing*, this early portion of the *Lotus sūtra* implicitly differentiates the irreversible bodhisattva among a typology of bodhisattvas who are more advanced than mainstream Buddhist figures such as the arhat but are not equal to a Buddha.

In Chapter Three (3.90) the irreversible bodhisattva is listed with Arhats and Pratyekabuddhas who will receive, if they follow the *Lotus sūtra*, the single Buddha-vehicle.<sup>4</sup> Another set of verses in Chapter Three, similar to statements in other early Mahāyāna texts, presupposes that followers of the *Lotus sūtra* must have served previous Buddhas in previous lifetimes and that if they have utmost faith in the *Lotus sūtra* they will be considered to be *avaivartika* bodhisattvas (3.106–107).<sup>5</sup>

The occurrences of *avaivartika* in the *Lotus sūtra* are also related to predictions. This is most evident in the early layers of the

<sup>2</sup> The theory for the gradual formation of the *Lotus sūtra* was intially proposed by Fuse Kōgaku (1934) with similar remarks by Rawlinson (1977). This theory is refined in the works of Karashima (1991, 1993, 2001) and Kajiyama (2000) who propose four stages of formation for the *Lotus sūtra* as follows: (1) Triṣtubh-Jagatī verses, found in chapters from the Upāyakauśalya- (II) to the Vyākaraṇa-parivarta (IX); (2) Śloka verse and prose, found in those chapters; (3) Chapters from the Dharmabhāṇaka-(X) to the Tathāgatarddhyabhisaṃskāraparivarta (XX), as well as Nidāna-(I) and Anuparīndanā-parivarta (XXVII); (4) The other chapters (XXI–XXVI) and the latter half of the Stūpasaṃdarśanaparivarta (XI), i.e. the so-called Devadattaparivarta.

<sup>3</sup> Saddharmapundarīka 2.7-2.18 (KN 31.5-32.14).

<sup>4</sup> Saddharmapundarīka 3.90 (KN 90.7-8); Burnouf 1925:57 at 3.84.

<sup>5</sup> Saddharmapundarīka 3.106–107 (KN 93.1–5); Burnouf 1925: 59 at 3.100.

*sūtra* in the sixth chapter on prediction (*vyākaraņa*). In the Buddha's prediction of Subhūti to one day become a Buddha, Subhūti's future Buddhafield is described as containing many bodhisattvas who "turn the wheel that never rolls back" (6.21).<sup>6</sup> Likewise, the prediction of Mahāmaudgalyāyana to become one day the Buddha Tamālapatrakandanagandha mentions that this Buddha will have numerous Arhats among his disciples and, in addition, there will appear numerous *avaivartika* bodhisattvas (6.36–37).<sup>7</sup>

Occurences of *avaivartika* within stage three (see footnote 2) of the sūtra's development (that is, Dharmabhānaka-(X) to the Tathāgatarddhyabhisamskāraparivarta (XX), as well as Nidāna-(I) and Anuparindanā-parivarta (XXVII)) are also very similar to occurences in early stages. In chapter 11 of the Sanskrit (Chapter 12 in Kumārajīva), Śāriputra, voicing a mainstream Buddhist view, tells the daughter of the Naga king that even though she has irreversibly conceived of the spirit for awakening, the bodhisattva path is impossible for women. This is because women, according to Sariputra, are unable to attain the status of (1.) Brahma, (2.) Indra, (3.) chief guardian of the four quarters, (4.) a cakravartin king or the status of (5.) an irreversible bodhisattva. In Dharmaraksa's translation the fifth status is listed as mahāsattva (大士, T. 263:106a16), but in Kumārajīva's translation the fifth status is not avaivartika but that of a Buddha (Kubo and Yuyama 2007: 184). The interchange of avaivartika with Buddha between the Sanskrit and Kumārajīva's translations illustrates the great status avaivartika bodhisattvas had at this stage of the Lotus sūtra's development. More importantly, in describing the qualities of the Nāga king's daughter to the bodhisattva Prajñākūta ("Wisdom Accumulation"), Mañjuśrī states that in one moment she produced the thought of awakening and attained the status of non-retrogression (Kubo and Yuyama 2007: 183). The Naga king's daughter then appears to the assembled multitude, gives a precious gem to the Buddha, and then transforms into a man to become a Buddha in the southern world-sphere known as Spotless. Seeing the Naga king's daughter

<sup>6</sup> Saddharmapundarīka 6.21 (KN 149.13-14).

<sup>7</sup> Saddharmapundarīka 6.36-37 (KN 155.1-4).

achieve Buddhahood, incalculable beings attained the state of nonretrogression. This episode indicates that the status of irreversibility or non-retrogression is held in high esteem among the *Lotus sūtra*'s authorial community as well as its audience as non-retrogression is a state that both the Nāga king's daughter attains and members of the assembled multitude attain as a result of hearing and understanding the *Lotus sūtra*. Along these lines, Chapter 13 verse 64 in the Sanskrit (Kumārajīva Chapter 14, T. 262, 39b) mentions the attainment of *dhārā*  $n\bar{n}$  with "irreversible" qualities when the Buddha speaks of those who uphold the *Lotus sūtra* and "attain the power of recollection and the knowledge of non-retrogression."<sup>8</sup>

The only occurrence of avaivartika in relation to bhūmi ("ground" or "stage") in the Lotus sūtra appears in the Duration of the Tathāgata's Lifespan Chapter (Chapter 15 Sanskrit, Chapter 16 Kumārajīva, T. 262, 42b) where the bodhisattva-māhasattva Maitreva along with a great number of bodisattvas explain to the Buddha that they are incapable of comprehending an analogy given for length of the Buddha's lifespan, even while standing on the stage of nonregression.<sup>9</sup> This passage indicates that the stage of non-regression is attained by high level bodhisattva mahāsattvas like Maitreva. But the context does not indicate what the intended audience knew of this stage other than the ostensive meaning that non-regression marks a point in the career of a bodhisattva that, once reached, indicates that there can be no turning back from the achievement of Buddhahood. Similar to other early to mid-level sūtras, the Lotus sūtra does not know of an organized sequence of *bhūmis* found in other texts like the Daśabhūmika sūtra (十地經) chapter of the Avatamsaka sūtra. The term bhūmi occurs around 36 times in the Lotus sūtra (See Ejima et al, 1985: 781), usually in compounds beginning with arhat-, śrāvaka-, pratyekabuddha-, bodhisattva-, śaiksa-, and avaivartya-. But an organized

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<sup>8</sup> Saddharmapuņdarīka 13.64 (KN 294.7-8): so ca prahrsto bhavatī śruņitvā prāmodyajātašca karoti pūjām /supine ca so dhāraņi prāpuņoti avivartiyam jñāna spršitva ksipram // 13.64 // Karashima (2005:131) notes several interesting variants on this verse, XIII § 24, (Gilgit) avivartiyam jñāna spršisyase (p. 131), (Khādaliq) avaivartikam jñāna spršitva (p. 132), (F) avivarttokam jñāna [s]pršitva (p. 134).

<sup>9</sup> Saddharmapundarīka 15, tathāgatāyuspramāņaparivartah (KN 316.12-317.4).

sequence of *bhūmis* does not belong to the strand of bodhisattva literature followed by the authorial community of the *Lotus sūtra*.

The preceding discussion of occurrences of avaivartika bodhisattva in the *Lotus sūtra* has several implications for understanding this type of bodhisattva within its narrative context. The Lotus sūtra provisionally accepts the category of irreversible bodhisattva as a term of high status in many of the parables and teaching episodes throughout the sūtra. The attainment of irreversibility is revered in the Lotus sūtra for those who accept and have faith in the Lotus sūtra. Those bodhisattvas like the Naga king's daughter who actualize Buddhahood based on hearing and understanding the Lotus sūtra, as well as traditional mainstream Buddhist figures like the arhats who receive predictions from the Buddha, are granted upon their attainment of Buddhahood audiences of followers who are *avaivartika* bodhisattvas. This scenario would be comparable to a modern day graduate student being guaranteed a full professorship immediately upon attaining a Ph.D. whereby all of his or her future courses would consist only of seminars with advanced graduate students who themselves were guaranteed full professorships. Indeed, the Lotus sūtra grants favorable conditions of Buddhahood for its followers.

However, even though the status of *avaivartika* is accepted in the Lotus sūtra, the attainment is "democratized" (Nattier 2009:99) in the context of the *sūtra*'s advocation of one single vehicle and the attainment of Buddhahood for everyone who has faith or devotion toward the Lotus sūtra. The Lotus sūtra recognizes the traditional stages of attainment found in mainstream Buddhism and earlier Mahāyāna discourses, from the stage of stream-enterer up through to the highest level bodhisattva, like the avaivartika bodhisattva, as workable categories inherited from early forms of mainstream and Mahāyāna Buddhism. However, the Lotus sūtra sweeps these hierarchical attainments aside in the egalitarian teaching of the one vehicle along with the notion that all who accept the *Lotus sūtra* will attain full buddhahood (Nattier 2009:99). The Lotus sūtra's approach to traditional mainstream and Mahāvāna Buddhist hierarchical categories of spiritual attainment differs from that of the Avaivartikacakrasūtra. As will be discussed in the following section, the Avaivartikacakrasūtra will

transform the traditional categories of mainstream hierarchical attainment to the status of *avaivartika* bodhisattva in a skilful manner that is more congruent with traditional understandings of progress on the Buddhist path.

#### Irreversible bodhisattvas in the Avaivartikacakra sūtra

The Avaivartikacakrasūtra<sup>10</sup> (Pk.906; Derge 240) is classified as a Mahāyāna sūtra and is said to have been taught by the Buddha, at Śrāvastī, in the Jeta Grove of Anāthapindada. The sūtra depicts the Buddha teaching the "wheel of the irreversible doctrine" (avaivartika*dharmacakra*) where, like the *Lotus sūtra*, all beings are destined for Buddhahood. However, in the Avaivartikacakrasūtra the Buddha articulates to Ananda that when he refers to *śrāvaka* stages of mainstream Buddhist attainment, stages such as the Stream-enterer, Once-returner, Non-returner, and Arhat, he is actually making reference to irreversible bodhisattvas. Rather than accepting mainstream Buddhist categories of attainment, and then dismissing them in the context of one single vehicle (ekayāna) as in the Lotus sūtra, the Avaivartikacakrasūtra rewrites and transforms these categories through the rhetorical technique of semantic elucidation (skt. nirukta) with the ostensive message that the Buddha utilizes skill-in-means through his use of allegorical speech (Tib. ldem po ngag).

See Apple (2004, 2008, 2011) and Handurukande (1973) for an overview of this 10 sūtra. The Sanskrit title is found in the Abhisamayālamkāra commentaries of both Ārya-Vimuktisena and Haribhadra. See Vimuktisena, and Corrado Pensa, L'Abhisamayālamkāravrtti di Ārya-Vimuktisena: Primo Abhisamaya; Testo e note crit. (Roma: Is.M.E.O., 1967), page 46: "Avaivartikacakra sūtre," and Haribhadra, and Unrai Wogihara, Abhisamayālamkār'ālokā prajñāpāramitāvyākhyā: (Commentary on Astasāhasrikā-prajñāpāramitā) (Tokyo: Toyo Bunko, 1932), page 36.8: "avaivartikacakra sūtra." The Avaivartikacakra sūtra is preserved in Chinese, Tibetan, Manchu, and Mongolian translations. Three translations of this sūtra are preserved in Chinese. The first is the Aweiyuezhizhe jing 阿惟越致進經 translated by Dharmaraks a in four *juan* on the 14th day, 10th month, 5th year of Tai Kang (泰康), Western Jin dynasty (西晉) (November 8th, 284 c.e.) in Dunhuang (敦煌). The second translation is the Butuizhuanfalun jing 不退轉法輪經 translated during the Northern Liang dynasty (北凉) (412-439 c.e.). The third translation is the Guangboyanjing butuizhuanlun jing 廣博嚴淨不退轉輪經 translated by 智厳 Zhi-yan during the 4th year of Yuan Jia (元嘉), Liusong dynasty (劉宋) (427 c.e.) in Zhi-yuan Monastery (枳園寺) in Yang-du (楊都).

In Chapters 2 through Chapter 10 of this *sūtra*, the Buddha articulates to Ananda that when he discusses śrāvaka stages of the path the Buddha is actually making reference to irreversible bodhisattvas. In the *sūtra*, the Buddha gives a whole prose discourse on a certain type of *bodhisattva* who obtains the status name from *śrāvaka* terminology, say for instance the *sraddhānusārin*, a "follower by way of faith." After the Buddha gives a discourse as to why a bodhisattva takes up the name of whichever type of *śrāvaka*, the Buddha proclaims a number of stanzas that provide a summary of the particular "re-defined" term. According to the normative representation of this sūtra, the Buddha here skillfully creates notions or perceptions  $(samin \bar{a})$  of stages of traditional mainstream Buddhist categories of progression, such as the Followers-of-Dharma, Once-returner, Nonreturner, Arhat, or Pratyekabuddha as a form of encouraging beings to progress towards attaining a *śrāvaka* goal and then informs his audience that rather than heading toward the attainment of *nirvāna*, they are actually irreversible from anuttara-samvak-sambodhi, unsurpassable complete full awakening.

A specific example found in the *Avaivartikacakrasūtra* would be of the Follower-of-Dharma (*dharmānusārin*). In mainstream forms of Buddhism, particularly in Abhidharma discourse, a Follower-of-Dharma, 'One who follows by way of Doctrine,' is an enterer to the result of Stream-enterer who has sharp faculties (*tīksnendriya*), and does not rely on another to pursue cognition of the Nobles' Four Truths (Apple 2008). The Follower-of-Dharma is at least seven lifetimes away from *nirvāna* as this stage of spiritual progress is a type of Stream-enterer in mainstream forms of Buddhism.

In the discourse of the *Avaivartikacakrasūtra* the Follower-of-Dharma is "one who follows the stream of inconceivable dharmas" (Taipei 240, fol. 502, *chos rjes 'brang zhes gsungs/ chos rgyun bsam gyis mi khyab pa*  $\approx$  acintya-dharma-śrota-anusāri) and is irreversibly bound to attain the omniscient knowledge of a Buddha (*buddha-jñāna*) or "the (74)

great knowledge" ( $mah\bar{a}j\tilde{n}\bar{a}na$ ).<sup>11</sup> The  $s\bar{u}tra$  redefines the term "Follower-of-Dharma" and connects it with being an irreversible bodhisattva by means of semantic elucidation (nirvacana) or word-plays (Apple 2009) on the term *dharma* as the following few verses from the *Avaivartikacakrasūtra* demonstrate:

- [1] One who does not turn away from the Buddha qualities (*buddha<u>dharma</u>*) in any way and apprehends (<u>*dhārana*</u>) their true nature (<u>*dharmatā*</u>). is therefore called a Follower-of-Dharma (<u>*dharmānusārin*</u>).
- [2] The stream of things (*dharmasrota*) is inconceivable
  One who does not even pass beyond that stream
  And obtains the reality of things (*dharmatā*)
  Is therefore called a Follower-of-<u>Dharma</u>
- [3] One who apprehends (<u>dhārana</u>) and respects the <u>dharma</u> that is explained by the Buddhas and whose mind is fearless is therefore called a Follower-of-<u>Dharma<sup>12</sup></u>

<sup>11</sup> Karashima (2001a: 170, footnote 115; 2001b: §2.7, 215–217) theorizes that the term mahāyāna was initially mahājñāna ("the great knowledge") and meant "buddha-knowledge" (buddha-jñāna). He preposes that the Lotus sūtra in origin proclaimed that everyone could obtain buddha-jñāna and that the sūtra shows the equality of great knowledge (mahājñāna) based on analysis of Central Asian manuscripts and Chinese translations of the Lotus sūtra. It is interesting to note here that toward the end of the Avaivartikacakrasūtra the Buddha proclaims to Ānanda an alternative title to the sūtra as "the teaching on great knowledge" (ye shes chen  $po \approx mahā-jñāna$ ) (Avaivartikacakrasūtra, Chapter 18D, (Derge 301a2): kun dga' bo de Ita bas na chos kyi rnam grangs 'di ... phyir mi ldog pa'i 'khor lo ye shes chen po bstan pa zhes bya bar yang zung shig).

<sup>12</sup> Avaivartikacakra-sūtra Chapter 3C, verses 1–3 (S 323b1): 1] /sangs rgyas chos la rnam kun tu/ /chos las ldog par [323b1] mi byed cing //chos nyid 'di dag 'dzin byed de//de phyir chos rjes 'brang zhes gsungs/ [2]/chos rgyun bsam gyis mi khyab pa// rgyun de las kyang 'da' mi byed//chos nyid de ni rjes su thob//de phyir chos rjes 'brang zhes gsungs/ [3]/sangs rgyas rnams kyis bshad pa'i chos//bsti stang byas te de dag ' dzin//de yi sems ni zhum pa med/ /de phyir chos rjes 'brang zhes gsungs/

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Although the connotations of Indic language word-play are difficult to discern from the Tibetan or Chinese translations of this sūtra, and perhaps would not be fully discernable to a Tibetan or Chinese listening or reading audience, discerning the probable underlying meaning and connotation for an Indic speaking audience through critical philological comparsion and analysis (Nattier 2003:70-72) is possible. Such analysis indicates a number of points about this sūtra. The sūtra utilizes traditional mainstream Buddhist status markers of attainment and redefines them in terms of the bodhisattva way within the context of the single vehicle that leads to Buddhahood. The authorial community of the Avaivartikacakrasūtra was interested in re-describing bodhisattvas within mainstream Buddhist categories of attainment. They were hollowing out and re-defining the traditional terms of status found within mainstream hierarchical Buddhist structures. These status terms were transvalued to embody bodhisattva qualities and were redirected toward entering the Buddha-vehicle (buddhayāna) and following the Buddha-path to attain buddha-dharmas and *buddha-iñāna*. What this represents is skillful rhetorical tactics through semantic elucidation on the part of the authorial communities of this literature, to redefine and re-describe mainstream Buddhist ideal figures so as to accommodate them into the emergent ideology of universal accessibility to full complete awakening among the social movements that become classified as "Mahāyāna." Notable, as well, is the absence of any discussion of bodhisattva stages in the Avaivartikacakra. As Lamotte (1998:43) notes, sūtras such as the Astasāhasrikā, Pratyutpanna, the Śūramgamasamādhi, Vimalakīrti, and the Sukhāvatī*vyūha* represent proto-Mahāyāna in the course of formation. The bodhisattva path at the time of the formulation of these sūtras was not complete, necessarily coherent, or structured into a systematic explanation of stages. In the case of the Avaivartikacakrasūtra the traditional categories of progressive spiritual attainment were accepted but redefined through semantic elucidation, allowing for all traditional Buddhist categories of attainment to be irreversible from Buddhahood.

#### Conclusion

The Lotus sūtra and the Avaivartikacakrasūtra represent a

second layer of early to middle period Mahāyāna developments where Mahāyāna interest groups were still addressing how bodhisattvas become Buddhas and outlining the bodhisattva path against traditional mainstream forms of Buddhism. Both *sūtras* utilize the key stage of the irreversible bodhisattva in articulating their vision of the bodhisattva way to Buddhahood and incorporate this category into their use of skill-in-means in the context of the single vehicle (*ekayāna*) where all beings are destined for Buddhahood.

The Lotus sūtra and the Avaivartikacakrasūtra challenges basic assumptions about the Buddhist path shared by mainstream Buddhism's orientation toward the attainment of arhatship and *nirvāna* as well as early Mahāvāna Buddhist views of the newly developed way to Buddhahood. The overarching tradition in Buddhism, for mainstream Buddhists as well as early Mahāyāna groups, was to conceive of the path as a "prolonged process of step-by-step self-cultivation" (Nattier 2006:68). The Lotus sūtra and the Avaivartikacakrasūtra both speak of all Buddhist practitioners as irreversibly heading toward Buddhahood but convey the possibility of this attainment to their followers in different ways. The Lotus sūtra utilizes predictions and parables to demonstrate the power of its teaching to grant the attainment of nonretrogression to its followers. The status of irreversibility is honored in the Lotus sūtra as a prestigious attainment for those who accept and have faith in the Lotus sūtra. Along these lines, those bodhisattvas who actualize Buddhahood based on hearing and understanding the Lotus *sūtra* and the arhats who receive predictions from the Buddha in the Lotus sūtra are granted upon their attainment of Buddhahood multitudes of followers who are irreversible bodhisattvas. On the other hand, the Avaivartikacakrasūtra rewrites and transforms traditional mainstream Buddhist categories of spiritual attainment through the rhetorical technique of semantic elucidation. All mainstream Buddhist followers who thought they had attained a stage among traditional categories of attainment such as the Stream-enterer, Once-returner, Non-returner, and Arhat on the way to *nirvāna* are actually irreversible bodhisattvas on the way to full Buddhahood. The Avaivartikacakrasūtra, like the Lotus sūtra, teaches the single vehicle and advocates that all beings are irreversible from Buddhahood if one hears and has faith

in its teaching. However, unlike the *Lotus sūtra* which accepts mainstream Buddhist categories of attainment and then discards them in the egalitarian context of one single vehicle  $(ekay\bar{a}na)$ , the Avaivartikacakrasūtra redefines and transforms these categories to indicate irreversible bodhisattvas who are progressing towards the state of Buddhahood. The Avaivartikacakrasūtra therefore maintains the step-by-step progress structure of mainstream Buddhism but revises the end point of the journey as full Buddhahood rather than nirvāna. Alternatively, the Lotus sūtra advocates a leap philosophy (Nattier 2006; 2009) of sudden progress based on faith in the message of the *sūtra* itself. This is illustrated by the episode of the Nāga king's daughter who immediately attains Buddhahood based on her hearing and faith in the Lotus sūtra. In sum, the Lotus sūtra and the Avai*vartikacakrasūtra* both discuss irreversible bodhisattvas in the context of the single vehicle but have different visions of the place of irreversible bodhisattvas in their teaching of universal Buddhahood.

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# The Irreversible Bodhisattva (*avaivartika*) in the *Lotus sūtra* and *Avaivartikacakrasūtra*.

### James B. Apple

Irreversible (*avaivartika*) bodhisattvas are regarded as prominent figures within Mahāyāna literature as they are generally thought to be incapable of turning back from attaining full Buddhahood. Different texts, however, vary in their description of the irreversible bodhisattva. Some texts like the *Sukhāvatīvyūha* place emphasis on their vows to save sentient beings while other texts like the *Vimalakīrtinirdeśa* represent them as householders skilled in the cognition of emptiness and nonduality. This paper examines the irreversible bodhisattva found in the *Avaivartikacakrasūtra* and compares it to the qualities of the irreversible bodhisattva found in the *Lotus sūtra*. The comparison between these two sutras' portrayal of the irreversible bodhisattva illustrates underlying similarities in how the irreversible bodhisattva is conceived but also clarifies important differences in practices and attainments that provide for a more nuanced vision of this type of bodhisattva in Mahāyāna literature.